IN THE MATTER OF AN ARBITRATION UNDER THE 1965 CONVENTION ON THE SETTLEMENT OF INVESTMENT DISPUTES BETWEEN STATES AND NATIONALS OF OTHER STATES

AND

PURSUANT TO THE 1994 ENERGY CHARTER TREATY

BETWEEN:

(1) UNIPER SE

- (2) UNIPER BENELUX HOLDING B.V.
 - (3) UNIPER BENELUX N.V.

Claimants

- and -

THE KINGDOM OF THE NETHERLANDS

Respondent

INDEX OF EXHIBITS AND AUTHORITIES TO CLAIMANTS' MEMORIAL OF 20 MAY 2022

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I. <u>FACT EXHIBITS</u>

Exhibit No	Description	Date	Language
C-0002-DUT C-0002-ENG	Environmental Management Act (as amended from time to time) [An updated translation has been submitted with the Claimants' Memorial.]	13 June 1979	DUTCH ENG Trans. (Partial)
C-0003-DUT- FRE C-0003-ENG	Instrument of ratification of the Energy Charter Treaty for the Netherlands	27 March 1998	DUTCH FRENCH ENG Trans.
C-0004-DUT C-0004-ENG	Parliamentary documents 2002-2003, 29 023, No. 1, Letter from the Minister of Economic Affairs to the House of Representatives	3 September 2003	DUTCH ENG Trans. (Partial)
C-0005-ENG	Directive 2003/87/EC of the European Parliament and of the Council establishing a scheme for greenhouse gas emission allowance trading within the Community and amending Council Directive 96/61/EC [2003] OJ L 275/32	13 October 2003	ENG
C-0007-DUT C-0007-ENG	R. Op Het Velt, Brinkhorst advocates for construction of a new coal plant, Financieel Dagblad, https://fd.nl/frontpage/Print/krant/Pagina/Voorpagina/380947/brinkhorst-pleit-voorbouw-nieuwe-kolencentrale	10 June 2004 (Last accessed 16 April 2020)	DUTCH ENG Trans.
C-0008-DUT C-0008-ENG	Parliamentary documents 2003-2004, 1857, Questions by the House of Representatives with the replies by the Government	15 June 2004	DUTCH ENG Trans.
C-0010-DUT C-0010-ENG	Minister of Economic Affairs, Now for later, Energy Report 2005 [An updated translation has been submitted with the Claimants' Memorial.]	8 July 2005	DUTCH ENG Trans. (Partial)
C-0011-DUT C-0011-ENG	Parliamentary documents 2005-2006, 30 300 XIII, No. 8, Letter from Minister of Economic Affairs to the House of Representatives	7 October 2005	DUTCH ENG Trans.

Exhibit No	Description	Date	Language
C-0013-DUT C-0013-ENG	Parliamentary documents 2005-2006, 30 300, XIII, No. 58, Letter from the Minister of Economic Affairs to the House of Representatives	9 December 2005	DUTCH ENG Trans.
C-0017-DUT C-0017-ENG	Parliamentary documents 2005-2006, 30 300 XIII, No. 65, Report of a General Consultation in the House of Representatives	25 January 2006	DUTCH ENG Trans. (Partial)
C-0018-ENG	E.ON Benelux Press Release, New power station based on clean coal technology: E.ON selects Maasvlakte for new large-scale power plant	3 March 2006	ENG
C-0021-DUT C-0021-ENG	MPP3 Environmental Permit [An updated translation has been submitted with the Claimants' Memorial.]	26 October 2007	DUTCH ENG Trans. (Partial)
C-0022-DUT C-0022-ENG	MPP3 Planning and Construction Permit	4 April 2008	DUTCH ENG Trans.
C-0023-DUT C-0023-ENG	2008 Energy Report [An updated version of the original Dutch document containing two additional pages (pp. 1 and 2) has been submitted with the Claimants' Memorial.] [An updated translation has been	18 June 2008	DUTCH ENG Trans. (Partial)
	submitted with the Claimants' Memorial.]		

Exhibit No	Description	Date	Language
C-0024-ENG	Directive 2010/75/EU of the European Parliament and of the Council on industrial emissions (integrated pollution prevention and control) (Recast) [2010] OJ L 334/17	24 November 2010	ENG
C-0025-DUT C-0025-ENG	SER (Social and Economic Council) Report, Energy agreement for sustainable growth	6 September 2013	DUTCH ENG Trans. (Partial)
C-0027-ENG	Minister of Economic Affairs of the Netherlands, Energy Report: Transition to sustainable energy	28 April 2016	ENG
C-0028-ENG	Frontier Economics, Research of Scenarios for Coal-fired Power Plants in the Netherlands, A Report for the Ministry of Economic Affairs (MinEZ)	1 July 2016	ENG
C-0029-DUT C-0029-ENG	Confidence in the Future: 2017-2021 Coalition Agreement, People's Party for Freedom and Democracy (VVD), Christian Democratic Alliance (CDA), Democrats '66 (D66) and Christian Union (CU)	10 October 2017	DUTCH ENG
C-0030-DUT C-0030-ENG	Parliamentary documents 2017-2018, 30 196, 32 813, No. 567, Letter from the Minister of Economic Affairs to the House of Representatives	13 December 2017	DUTCH ENG Trans.
C-0031-DUT C-0031-ENG	Legislative proposal containing a ban on producing electricity using coal (Law on the prohibition of coal for electricity production) and Explanatory Memorandum	19 May 2018	DUTCH ENG Trans.
C-0032-DUT C-0032-ENG	Uniper's Response to consultation on draft legislative proposal for prohibition of coal with electricity production	15 June 2018	DUTCH ENG Trans.
C-0034-DUT C-0034-ENG	Parliamentary documents 2018-2019, 35 167, No. 2, Legislative proposal for the prohibition of coal for electricity production	Issued 18 March 2019, published 19 March 2019	DUTCH ENG Trans.
C-0035-DUT C-0035-ENG	Parliamentary documents 2018-2019, 35 167, No. 3, Explanatory Memorandum	Issued 18 March 2019, published 19 March 2019	DUTCH ENG Trans.
C-0036-DUT C-0036-ENG	Parliamentary documents 2018-2019, 35 167, No. 4, Advisory Opinion of the Advisory Division of the Council of State and Further Report	Issued 18 March 2019, published 19 March 2019	DUTCH ENG Trans.

Exhibit No	Description	Date	Language
C-0037-DUT C-0037-ENG	House of Representatives Plenary Debate of Coal Ban Act Transcript	26 June 2019	DUTCH ENG Trans. (Partial)
C-0038-ENG	Frontier Economics, Profitability and Dispatch of MPP3 Power Plant in Case of Biomass Conversion, A short report for Uniper Benelux	September 2019	ENG
C-0039-ENG	Frontier Economics, Profitability and Dispatch of MPP3 Power Plant with Alternative Fuels, A report for Uniper Benelux	October 2019	ENG
C-0040-DUT C-0040-ENG	Parliamentary 2019-2020, 35 167, B, Memorandum of Reply	17 October 2019	DUTCH ENG Trans. (Partial)
C-0042-DUT C-0042-ENG	Act of 11 December 2019 establishing the Regulations for the Use of Coal to Generate Electricity (Act Prohibiting the Use of Coal to Generate Electricity), the Netherlands Official Gazette, Volume 2019, 493	Signed 11 December 2019, announced 19 December 2019 and entered into force 20 December 2019	DUTCH ENG Trans.
C-0044-ENG	2019 Annual Report of Uniper Benelux Holding B.V.	6 April 2020	ENG
C-0045-ENG	2019 Annual Report of Uniper Benelux N.V.	6 April 2020	ENG
C-0049-ENG	Uniper SE, Annual Report 2020	3 March 2021	ENG
C-0050-ENG	Uniper Benelux Holding B.V., Extract from the Commercial Register of the Netherlands Chamber of Commerce	12 March 2021	ENG
C-0051-ENG	Uniper Benelux N.V., Extract from the Commercial Register of the Netherlands Chamber of Commerce	12 March 2021	ENG
C-0052-GER C-0052-ENG	Uniper SE, Extract from the Commercial Register B of the Düsseldorf District Court [An updated translation has been submitted with the Claimant's Memorial to correct a previous error.]	16 March 2021	GER ENG Trans.

Exhibit No	Description	Date	Language
C-0053-GER C-0053-ENG	Uniper Holding GmbH, Extract from the Commercial Register B of the Düsseldorf District Court	16 March 2021	GER ENG Trans.
C-0056-ENG	Energy Charter Treaty, Members and Observers, the Netherlands, https://www.energycharter.org/who-we-are/members-observers/countries/the-netherlands/	Last accessed 13 April 2021	ENG
C-0057-ENG	Energy Charter Treaty, Members and Observers, Germany, https://www.energycharter.org/who-we- are/members-observers/countries/Germany	Last accessed 13 April 2021	ENG
C-0058-ENG	Extract of ICSID Database showing ICSID Member States, https://icsid.worldbank.org/about/member-states	Last accessed 13 April 2021	ENG
C-0059-ENG	European Commission, <i>Phases 1 and 2</i> (2005-2012), https://ec.europa.eu/clima/policies/ets/pre2 013 en	Last accessed 19 April 2021	ENG
C-0060-ENG	European Commission, EU Emissions Trading Scheme (EU ETS), https://ec.europa.eu/clima/policies/ets_en	Last accessed 19 April 2021	ENG
C-0065-DUT C-0065-ENG	Letter from Bastiaan van't Wout (Minister of Economic Affairs and Climate Policy) to Dutch House of Representatives	17 May 2021	DUTCH ENG Trans.
C-0074-ENG	Council Directive 96/61/EC concerning integrated pollution prevention and control [1996] OJ L 257/26	24 September 1996	ENG
C-0075-DUT C-0075-ENG	Parliamentary documents 1997–1998, 25 621, No. 3, Explanatory Memorandum regarding the Rules Relating to the Production, Transport and Supply of Electricity	29 September 1997	DUTCH ENG Trans. (Partial)
C-0076-DUT C-0076-ENG	Act of 2 July 1998, containing rules regarding the production, transport and supply of electricity (Electricity Act 1998)	2 July 1998	DUTCH ENG Trans. (Partial)

Exhibit No	Description	Date	Language
C-0077-DUT C-0077-ENG	General Energy Council Report, Care for Tomorrow's Energy, Advice to the Minister of Economic Affairs	25 October 2001	DUTCH ENG Trans. (Partial)
C-0078-DUT C-0078-ENG	Parliamentary documents 2001-02, 28 241, No. 2, 2002 Energy Report	4 March 2002	DUTCH ENG Trans. (Partial)
C-0079-DUT C-0079-ENG	2002 Coal Covenant between the Dutch Government and Power Plant Operators	24 April 2002	DUTCH ENG Trans. (Partial)
C-0080-DUT C-0080-ENG	Parliamentary documents 2003–2004, 29 372, No. 3, Explanatory Memorandum regarding the Amendment of the Electricity Act 1998 and the Gas Act	22 December 2003	DUTCH ENG Trans. (Partial)
C-0081-ENG	International Energy Agency, Energy Policies of IEA Countries, The Netherlands (2004)	June 2004	ENG
C-0082-DUT C-0082-ENG	Parliamentary documents 2003-2004, 29 023, No. 4, Letter from the Minister of Economic Affairs on Supply Security	9 June 2004	DUTCH ENG Trans. (Partial)
C-0083-DUT C-0083-ENG	Energeia Editorial Staff, Lankhorst: the Netherlands ideally positioned for the construction of a coal plant	10 June 2004	DUTCH ENG Trans.
C-0085-DUT C-0085-ENG	Trouw Editorial Staff, Van Geel sees future for 'clean coal', Trouw	26 May 2005	DUTCH ENG Trans.
C-0087-DUT C-0087-ENG	Parliamentary documents 2005-2006, 30 300 XIII, No. 42, Letter from the Minister of Economic Affairs on Consortium Negotiations	31 October 2005	DUTCH ENG Trans.
C-0089-DUT C-0089-ENG	Parliamentary documents 2005–2006, 30 300 XIII, No. 70, Letter from the Minister of Economic Affairs on consortium negotiations	3 February 2006	DUTCH ENG Trans.

Exhibit No	Description	Date	Language
C-0091-DUT C-0091-ENG	Parliamentary documents 2005-2006, No. 1224, Questions from the Lower House and Replies from the Minister of Economic Affairs	10 April 2006	DUTCH ENG Trans.
C-0093-DUT C-0093-ENG	Parliamentary documents 2005-2006, 29 023, No. 28, Letter from the Minister of Economic Affairs regarding Security of Energy Supply	26 June 2006	DUTCH ENG Trans. (Partial)
C-0094-DUT C-0094-ENG	Assessment Framework for New Power Plants in Rijnmond ("DCMR Framework")	4 July 2006	DUTCH ENG Trans.
C-0095-DUT C-0095-ENG	Parliamentary documents 2005-2006, 28 240-28 982, No. 50, Report of a General Meeting in relation to the Climate Policy Evaluation Memorandum and Liberalisation of Energy Markets	8 August 2006	DUTCH ENG Trans. (Partial)
C-0096-DUT C-0096-ENG	Parliamentary documents 2006–2007, 28 240, No. 61, Letter from the Minister of Economic Affairs on consortium negotiations	17 October 2006	DUTCH ENG Trans.
C-0097-DUT C-0097-ENG	Energeia Editorial Team, Essent and E.ON are still negotiating with Consortium to build a Coal-fired Power Station, Energeia	19 October 2006	DUTCH ENG Trans.
C-0098-DUT C-0098-ENG	Memorandum from the Director General of Energy to the Minister regarding telephone contact with Mr van Duyne	24 November 2006	DUTCH ENG Trans.
C-0099-DUT C-0099-ENG	MPP3 Environmental Impact Assessment	December 2006	DUTCH ENG Trans. (Partial)

Exhibit No	Description	Date	Language
C-0103-DUT C-0103-ENG	Memorandum to the Minister regarding the state of affairs of large energy consumers	1 March 2007	DUTCH ENG Trans.
C-0105-DUT C-0105-ENG	Parliamentary documents 2006–2007, 28 240-29 023, No. 77, Letter from the Minister of Housing, Spatial Planning and the Environment regarding the licensing of coal plants at Maasvlakte	28 June 2007	DUTCH ENG Trans.
C-0106-DUT C-0106-ENG	Parliamentary documents 2006-2007, Appendix 2001, Questions from the Lower House with Replies from the Minister of Housing, Spatial Planning and Environment	28 June 2007	DUTCH ENG Trans.
C-0107-DUT C-0107-ENG	Memorandum from the Ministry of Economic Affairs to the Secretary of State regarding the background and state of affairs of the large consumers dossier	29 June 2007	DUTCH ENG Trans.
C-0108-DUT C-0108-ENG	Parliamentary documents 2006-07, 28 240, No. 86, Report of a General Consultation on the construction of coal plants	8 August 2007	DUTCH ENG Trans. (Partial)
C-0109-DUT C-0109-ENG	Memorandum of the three ministers' meeting on 7 September 2007, prepared by the Ministry of Economic Affairs, the Ministry of Housing, Spatial Planning and the Environment and the Ministry of Finance	7 September 2007	DUTCH ENG Trans.
C-0110-DUT C-0110-ENG	Letter from the Ministry of Economic Affairs to the Consortium Energy Intensive Industry	18 September 2007	DUTCH ENG Trans.
C-0114-DUT C-0114-ENG	Memorandum from the Ministry of Economic Affairs to the Minister regarding Large Consumers, appending the Consortium Agreement Press Release	21 December 2007	DUTCH ENG Trans.

Exhibit No	Description	Date	Language
C-0115-ENG	Communication from the European Commission to the European Parliament, Supporting Early Demonstration of Sustainable Power Generation from Fossil Fuels	23 January 2008	ENG
C-0116-ENG	Communication from the European Commission to the European Parliament, 20 20 by 2020, Europe's Climate Change Opportunity	23 January 2008	ENG
C-0118-DUT C-0118-ENG	Parliamentary documents 2008–2009, 31 510, No. 2, List of Questions and Answers regarding the Energy Report 2008	29 September 2008	DUTCH ENG Trans. (Partial)
C-0119-DUT C-0119-ENG	Parliamentary documents 2008–2009, 31 209, No. 42, Letter from the Minister of Housing, Spatial Planning and Environmental Management	27 October 2008	DUTCH ENG Trans. (Partial)
C-0120-DUT C-0120-ENG	Environmental (General Provisions) Act	6 November 2008	DUTCH ENG Trans. (Partial)
C-0121-DUT C-0121-ENG	The Stichting Natuur en Milieu and the foundation Stichting Zuid-Holland Milieufederatie, The foundation Stichting Greenpeace Nederland and The Association of Concerned Citizens of Voorne v The provincial executive of South Holland, ECLI:NL:RVS:2009:BI2680	29 April 2009	DUTCH ENG Trans.
C-0122-DUT C-0122-ENG	Parliamentary documents 2008–2009, 31 510, No. 36, Letter from the Ministers of Economic Affairs and Housing, Spatial Planning and the Environment, regarding CCS	23 June 2009	DUTCH ENG Trans.

Exhibit No	Description	Date	Language
C-0127-DUT C-0127-ENG	Letter from the Minister of Economic Affairs regarding granting MPP3 a subsidy for the ROAD Project	12 May 2010	DUTCH ENG Trans. (Partial)
C-0128-DUT C-0128-ENG	Parliamentary documents 2009–2010, 31 362, No. 12, Letter from the Minister of Finance on the Legislative Proposal to amend the Environmental Tax Act	20 May 2010	DUTCH ENG Trans.
C-0129-DUT C-0129-ENG	The foundation Stichting Greenpeace Nederland v. The Municipal Executive of Rotterdam, ECLI:NL:RVS:2011:BQ3437	4 May 2011	DUTCH ENG Trans.
C-0130-DUT C-0130-ENG	The foundation Stichting Greenpeace Nederland v. The provincial executive of Zeeland, ECLI:NL:RVS:2011:BQ3435	4 May 2011	DUTCH ENG Trans
C-0131-DUT C-0131-ENG	Parliamentary documents 2010–2011, 31 510, No. 45, Energy Report 2011 ("2011 Energy Report")	10 June 2011	DUTCH ENG Trans. (Partial)
C-0133-DUT C-0133-ENG	MPP3 Emissions Permit	17 November 2011	DUTCH ENG Trans. (Partial)
C-0134-DUT C-0134-ENG	The Stichting Natuur en Milieu and the Milieufederatie Zuid-Holland, The foundation Stichting Greenpeace Nederland and The Association of Concerned Citizens of Voorne v The provincial executive of South Holland, ECLI:NL:RVS:2011:BU6360	30 November 2011	DUTCH ENG Trans
C-0138-DUT C-0138-ENG	N. Koper, Addicted to Energy, Why the Netherlands is not able to become clean, economical and sustainable (2012)	November 2012	DUTCH ENG Trans (Partial)
C-0139-ENG	P. Radgen, R. Irons, H.Schoenmakers, Too Early or Too Late for CCS - What Needs to be Done to Overcome the Valley of Death for Carbon Capture and Storage in Europe?, Energy Procedia 37 (2013) 6189– 6201	2013	ENG Trans. (Partial)

Exhibit No	Description	Date	Language
C-0140-ENG	European Parliament Resolution on making the internal energy market work (2013/2005(INI)) [2016] OJ C93/8	10 September 2013	ENG
C-0142-ENG	ROAD Project Presentation, CATO and ROAD, A Symbiotic Relationship	19 June 2014	ENG
C-0144-GER C-0144-ENG	Uniper Holding GmbH, Shareholder List, Commercial Register of Hanover	9 April 2015	GER ENG Trans.
C-0145-GER C-0145-ENG	Uniper Holding GmbH, Shareholder List, Commercial Register of Hanover	7 October 2015	GER ENG Trans.
C-0146-ENG	Dutch lawmakers approve plan to close coal power plants, Phys Org	26 November 2015	ENG
C-0147-GER C-0147-ENG	Uniper Holding GmbH, Shareholder List, Commercial Register of Düsseldorf	26 November 2015	GER ENG Trans.
C-0148-DUT C-0148-ENG	Parliamentary documents 2015-16, 30 196 and 32 813, No. 380, Letter from the Minister of Economic Affairs regarding the phase out of coal plants	18 December 2015	DUTCH ENG Trans.
C-0149-ENG	2015 Annual Report of Uniper Benelux N.V.	15 April 2016	ENG
C-0150-ENG	E.ON SE and Uniper SE, Joint Spin-off Report	18 April 2016	ENG
C-0151-DUT C-0151-ENG	NOS News Article, Kamp: new coal-fired power station fits within the rules, NOS Nieuws	20 April 2016	DUTCH ENG Trans.
C-0153-DUT C-0153-ENG	Minister Henk Kamp of Economic Affairs does not want to close new coal plants, NU.nl	4 September 2016	DUTCH ENG Trans.
C-0155-DUT C-0155-ENG	Parliamentary documents 2016–2017, 34 550, No. 14, Legislative Proposal by Member Pechtold	22 September 2016	DUTCH ENG Trans.
C-0156-DUT C-0156-ENG	Letter from Frits Bruijn (Uniper) to the Minister of Economic Affairs regarding the Phase-out of coal	26 September 2016	DUTCH ENG Trans.

Exhibit No	Description	Date	Language
C-0157-DUT C-0157-ENG	Letter from Dutch Emissions Authority regarding amendment to the MPP3 Emissions Permit	18 November 2016	DUTCH ENG Trans.
C-0159-DUT C-0159-ENG	Letter from the Netherlands Enterprise Agency to Uniper Benelux N.V. granting a SDE subsidy	30 November 2016	DUTCH ENG Trans.
C-0162-DUT C-0162-ENG	Parliamentary documents, 2016–2017, 30 196, No. 505, Letter from the Minister of Economic Affairs to Lower House of the States	19 January 2017	DUTCH ENG Trans.
C-0163-DUT C-0163-ENG	Annex to Letter from Minister of Economic Affairs dated 19 January 2017, Assessment of Possible Measures	19 January 2017	DUTCH ENG Trans.
C-0165-DUT C-0165-ENG	Parliamentary documents 2016–2017, 34 627, No. 9, Proposed Amendment of the Member Jan Vos to the Electricity Act 1998 and the Gas Act	16 February 2017	DUTCH ENG Trans.
C-0166-DUT C-0166-ENG	Parliamentary documents 2016–2017, 34 627, No 10, Proposed Amendment of the Member Jan Vos to the Electricity Act 1998 and the Gas Act	16 February 2017	DUTCH ENG Trans.
C-0167-ENG	2016 Annual Report of Uniper, Financial Results	7 March 2017	ENG
C-0168-ENG	2016 Annual Report of E.ON SE	14 March 2017	ENG
C-0169-ENG	2016 Annual Report of Uniper Benelux Holding B.V.	24 April 2017	ENG

Exhibit No	Description	Date	Language
C-0172-DUT C-0172-ENG	Parliamentary documents 2016-2017, 34 627, No. 15, Council of State Advice on the Amendment of the Electricity Act 1998 and of the Gas Act	17 July 2017	DUTCH ENG Trans.
C-0173-DUT C-0173-ENG	Parliamentary documents 2016–2017, 34 627, No 14, Letter from the Minister of Economic Affairs to the Lower House regarding the proposed amendment to the to the Electricity Act 1998 and the Gas Act	18 July 2017	DUTCH ENG Trans.
C-0174-ENG	ROAD Project Close-Out Report Overview (1)	February 2018	ENG
C-0175-ENG	ROAD Project Close-Out Report Capture and Compression (2)	February 2018	ENG
C-0176-ENG	ROAD Project Close-Out Report Transport (3)	February 2018	ENG
C-0177-ENG	ROAD Project Close-Out Report CO ₂ Storage (4)	February 2018	ENG
C-0178-ENG	ROAD Project Close-Out Report Risk Management (5)	February 2018	ENG
C-0179-ENG	ROAD Project Close-Out Report Permitting Regulation (6)	February 2018	ENG
C-0180-ENG	ROAD Project Close-Out Report Governance and Compliance (7)	February 2018	ENG
C-0181-ENG	ROAD Project Close-Out Report Project Costs and Funding (8)	February 2018	ENG
C-0182-ENG	ROAD Project Close-Out Report Finance and Control (9)	February 2018	ENG
C-0183-ENG	ROAD Project Close-Out Report Knowledge Sharing (10)	February 2018	ENG
C-0184-ENG	ROAD Project Close-Out Report Public Engagement (11)	February 2018	ENG
C-0185-DUT C-0185-ENG	Consultation Report on the draft Coal Ban Act	9 October 2018	DUTCH ENG Trans.
C-0186-DUT C-0186-ENG	Draft Coal Ban Act and Updated Explanatory Memorandum	12 October 2018	DUTCH ENG Trans.
C-0188-DUT C-0188-ENG	Parliamentary papers 2018-2019, 35 167, No. 6, Rules for the production of energy using coal (Law prohibiting coal in electricity production)	20 May 2019	DUT ENG Trans

Exhibit No	Description	Date	Language
C-0189-ENG	European Commission, Netherlands Prohibition of coal for the production of electricity in the Netherlands, State Aid SA.54537 (2020/NN)	3 June 2019	ENG
C-0190-DUT C-0190-ENG	The National Climate Agreement	28 June 2019	DUTCH ENG Trans. (Partial)
C-0191-DUT C-0191-ENG	Parliamentary Papers 2018-2019, 31 657, No. 74, Vote on the Coal Ban Act	4 July 2019	DUTCH ENG Trans
C-0194-DUT C-0194-ENG	Parliamentary documents, 2019–2020, 35 167, E, Further Memorandum of Reply on Rules for producing electricity using coal (Prohibition on coal for electricity production)	22 November 2019	DUTCH ENG Trans.
C-0195-DUT C-0195-ENG	Transcript of the Senate Plenary Debate on the Prohibition of Coal in Electricity Production, No. 4	3 December 2019	DUTCH ENG Trans. (Partial)
C-0196-DUT C-0196-ENG	Transcript of the Senate Plenary Debate on the Prohibition of Coal in Electricity Production, No. 9	3 December 2019	DUTCH ENG Trans. (Partial)
C-0197-DUT C-0197-ENG	The State Of The Netherlands (Ministry Of Economic Affairs And Climate Policy) v Stichting Urgenda, Supreme Court of the Netherlands, 20 December 2019, ECLI:NL:HR:2019:2006,	20 December 2019	DUTCH ENG Trans.
C-0198-DUT C-0198-ENG	Hester van Santen, How was it possible that the Netherlands continued to build coal plants for so long, NRC	31 January 2020	DUTCH ENG Trans.
C-0199-DUT C-0199-ENG	H. van Santen, When the price of electricity weighed more heavily than climate change, NRC	1 February 2020	DUTCH ENG Trans.
C-0200-DUT C-0200-ENG	Draft Amendment for Coal Phase Out Act, Explanatory Memorandum	Issued 8 December 2020 and published 9 December 2020	DUTCH ENG Trans.

Exhibit No	Description	Date	Language
C-0201-DUT C-0201-ENG	Parliamentary documents 2020-2021, 35 668, No. 44, Letter from the Minister of Economic Affairs and Climate Policy regarding Amendment of the Law on prohibition of coal in electricity production	17 May 2021	DUTCH ENG Trans.
C-0202-DUT C-0202-ENG	Law amending the Law on prohibition of coal in electricity production	7 July 2021 (Issued 28 July 2021 and entered into force 1 January 2021)	DUTCH ENG Trans.
C-0203-ENG	Uniper Energy Website, Sustainability report: Empower energy evolution, https://www.uniper.energy/news/sustainability-report-empower-energy-evolution	29 July 2020	ENG
C-0204-DUT C-0204-ENG	Decree No. 640 containing rules with regard to compensation for damage suffered by operators of coal-fired power stations in connection with the limitation of CO ₂ emissions	16 December 2021	DUTCH ENG Trans.
C-0205-DUT C-0205-ENG	Decree No. 641 to establish the time of the entry into force of the Act of 7 July 2021, to amend the Law on the prohibition of coal for electricity production	16 December 2021	DUTCH ENG Trans.
C-0206-ENG	2021 Annual Report of Uniper SE	22 February 2022	ENG
C-0208-GER C-0208-ENG	E.ON SE, Commercial Register B of the District Court of Düsseldorf	21 April 2022	GER ENG Trans. (Partial)
C-0209-DUT C-0209-ENG	EnergieNed Webpage, The Members of EnergieNed, https://www.energie- nederland.nl/leden	Last accessed 26 April 2022	DUTCH ENG Trans.
C-0210-ENG	U.S Energy Information Administration Webpage, <i>Biomass Explained</i> , https://www.eia.gov/energyexplained/biomass/	Last accessed 26 April 2022	ENG
C-0211-ENG	Uniper SE Website, About Us, Our Profile Factsheet	Last accessed: 26 April 2022	ENG

Exhibit No	Description	Date	Language
C-0212-DUT C-0212-ENG	Letter from the Minister for Climate and Energy to the Lower House regarding Compensation to coal plants for limiting their production	28 April 2022	DUTCH ENG Trans.
C-0213-ENG	European Commission, <i>Revision for phase</i> 4 (2021-2030) https://ec.europa.eu/clima/eu-action/eu- emissions-trading-system-eu-ets/revision- phase-4-2021-2030 en	Last accessed 11 May 2022	ENG
C-0214-ENG	Uniper Energy Website, About Uniper, Our company brief, https://www.uniper.energy/about-uniper/company-brief	Last accessed 13 May 2022	ENG
R-0008-DUT R-0008-ENG	2008 Covenant between the Government and the Energy Sector [An updated translation of R-0008 has been submitted with the Claimants' Memorial.]	28 October 2008	DUTCH ENG Trans. (Partial)
R-0010-DUT R-0010-ENG	Parliamentary documents 2015-2016, 34 302, No. 99, Amended Motion by Members van Weyenberg and van Veldhoeven, 18 November 2015	18 November 2015	DUTCH ENG Trans.

II. <u>LEGAL AUTHORITIES</u>

Authority No	Description
CL-0001-ENG	Energy Charter Treaty, 17 December 1994
CL-0007-ENG	Vienna Convention on the Law of Treaties, 1155 U.N.T.S. 331, 23 May 1969
CL-0030-ENG	Judgment of the CJEU in <i>Achmea B.V. v. The Slovak Republic</i> , Case C-284/16, 6 March 2018
CL-0031-ENG	Landesbank Baden-Württemberg and others v. Kingdom of Spain, ICSID Case No. ARB/15/45, Decision on the "Intra-EU" Jurisdictional Objection, 25 February 2019
CL-0033-ENG	Judgment of the CJEU, Republic of Moldova v. Komstroy LLC, successor in law to the company Energoalians, Case C-741/19, 2 September 2021
CL-0034-ENG	Infracapital F1 S.à.r.l. and Infracapital Solar B.V. v. Kingdom of Spain, ICSID Case No. ARB/16/18, Decision on Jurisdiction, Liability and Directions on Quantum, 13 September 2021
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CL-0049-ENG	Metalclad Corporation v. The United Mexican States, ICSID Case No. ARB(AF)/97/1, Award, 30 August 2000
CL-0050-ENG	Ronald S. Lauder v. The Czech Republic, UNCITRAL, Final Award, 3 September 2001
CL-0051-ENG	CME Czech Republic B.V. (The Netherlands) v. The Czech Republic, UNCITRAL, Partial Award, 13 September 2001
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Authority No	Description
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CL-0055-ENG	CMS Gas Transmission Company v. The Argentine Republic, ICSID Case No. ARB/01/8, Award, 12 May 2005
CL-0056-ENG	Saluka Investments BV (The Netherlands) v. The Czech Republic, UNCITRAL, PCA Case No. 2001-04, Partial Award, 17 March 2006
CL-0057-ENG	Azurix Corp. v. The Argentine Republic (I), ICSID Case No. ARB/01/12, Award, 14 July 2006
CL-0058-ENG	Telenor Mobile Communications A.S. v. The Republic of Hungary, ICSID Case No. ARB/04/15, Award, 13 September 2006
CL-0059-ENG	ADC Affiliate Limited and ADC & ADMC Management Limited v. Republic of Hungary, ICSID Case No. ARB/03/16, Award, 2 October 2006
CL-0060-ENG	LG&E Energy Corp. et al. v. The Argentine Republic, ICSID Case No. ARB/02/1, Decision on Liability, 3 October 2006
CL-0061-ENG	Siemens A.G. v. The Argentine Republic, ICSID Case No. ARB/02/8, Award, 6 February 2007
CL-0062-ENG	Enron Creditors Recovery Corporation (formerly Enron Corporation) and Ponderosa Assets, L.P. v. The Argentine Republic, ICSID Case No. ARB/01/3, Award, 22 May 2007
CL-0063-ENG	Compañía de Aguas del Aconquija S.A. (formerly Aguas del Aconquija) and Vivendi Universal S.A. (formerly Compagnie Générale des Eaux) v. The Argentine Republic (I), ICSID Case No. ARB/97/3, Award II, 20 August 2007
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CL-0067-ENG	Plama Consortium Limited v. Republic of Bulgaria, ICSID Case No. ARB/03/24, Award, 27 August 2008
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CL-0070-ENG	Joseph Charles Lemire v. Ukraine (II), ICSID Case No. ARB/06/18, Decision on Jurisdiction and Liability, 14 January 2010
CL-0071-ENG	<i>Ioannis Kardassopoulos and Ron Fuchs v. The Republic of Georgia</i> , ICSID Case No. ARB/05/18 and ARB/07/15, Award, 3 March 2010
CL-0072-ENG	Suez, Sociedad General de Aguas de Barcelona S.A., and Vivendi Universal S.A. v. The Argentine Republic (II), ICSID Case No. ARB/03/19, and AWG Group v. The Argentine Republic, UNCITRAL, Decision on Liability, 30 July 2010
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CL-0076-ENG	Burlington Resources Inc. v. Republic of Ecuador, ICSID Case No. ARB/08/5, Decision on Liability, 14 December 2012
CL-0077-SPA CL-0077-ENG (Partial)	Abengoa, S.A. and COFIDES, S.A. v. United Mexican States, ICSID Case No. ARB(AF)/09/2, Award, 18 April 2013
CL-0078-ENG	AES Corporation and Tau Power B.V. v. Republic of Kazakhstan, ICSID Case No. ARB/10/16, Award, 1 November 2013
CL-0079-ENG	Ioan Micula et al. v. Romania (I), ICSID Case No. ARB/05/20, Award, 11 December 2013
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CL-0082-ENG	Bernhard von Pezold et al. v. Republic of Zimbabwe, ICSID Case No. ARB/10/15, Award, 28 July 2015
CL-0083-ENG	Quiborax S.A. and Non-Metallic Minerals S.A. v. Plurinational State of Bolivia, ICSID Case No. ARB/06/2, Award, 16 September 2015
CL-0084-ENG	Hrvatska Elektroprivreda D.D. v. Republic of Slovenia, ICSID Case No. ARB/05/24, Award, 17 December 2015

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CL-0086-ENG	Vestey Group Limited v. Bolivarian Republic of Venezuela, ICSID Case No. ARB/06/4, Award, 15 April 2016
CL-0087-ENG	Murphy Exploration & Production Company – International v. The Republic of Ecuador (II), UNCITRAL, PCA Case No. 2012-16, Partial Final Award, 6 May 2016
CL-0088-ENG	ConocoPhillips Petrozuata B.V. et al. v. Bolivarian Republic of Venezuela, ICSID Case No. ARB/07/30, Interim Decision, 17 January 2017
CL-0089-ENG	Burlington Resources, Inc. v. Republic of Ecuador, ICSID Case No. ARB/08/5, Decision on Reconsideration and Award, 7 February 2017
CL-0090-ENG	Teinver S.A., Transportes de Cercanías S.A. and Autobuses Urbanos del Sur S.A. v. The Argentine Republic, ICSID Case No. ARB/09/01, Award, 21 July 2017
CL-0091-ENG	Novenergia II - Energy & Environment (SCA) (Grand Duchy of Luxembourg), SICAR v. The Kingdom of Spain, SCC Case No. 2015/063, Final Award, 15 February 2018
CL-0092-ENG	Masdar Solar & Wind Cooperatief U.A. v. Kingdom of Spain, ICSID Case No. ARB/14/1, Award, 16 May 2018
CL-0093-ENG	Vattenfall AB et al. v. Federal Republic of Germany (II), ICSID Case No. ARB/12/12, Decision on the Achmea Issue, 31 August 2018
CL-0094-ENG	Foresight Luxembourg Solar 1 S.À.R.L. et al. v. The Kingdom of Spain, SCC Case No. 2015/150, Final Award, 14 November 2018
CL-0095-ENG	South American Silver Limited (Bermuda) v. The Plurinational State of Bolivia, UNCITRAL, PCA Case No. 2013-15, Award, 22 November 2018
CL-0096-ENG	Cube Infrastructure Fund SICAV and others v. Kingdom of Spain, ICSID Case No. ARB/15/20, Decision on Jurisdiction, Liability and Partial Decision on Quantum, 19 February 2019.
CL-0097-SPA CL-0097-ENG (Partial)	Serafin García Armas and Karina García Gruber v. The Bolivarian Republic of Venezuela, UNCITRAL, PCA Case No. 2013-03, Final Award, 26 April 2019
CL-0098-ENG	Eskosol S.p.A. in liquidazione v. Italian Republic, ICSID Case No. ARB/15/50, Decision on Termination Request and Intra-EU Objection, 7 May 2019
CL-0099-ENG	9REN Holding S.À.R.L. v. Kingdom of Spain, ICSID Case No. ARB/15/15, Award, 31 May 2019
CL-0100-ENG	Tethyan Copper Company Pty Limited v. Islamic Republic of Pakistan, ICSID Case No. ARB/12/1, Award, 12 July 2019

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CL-0103-ENG	RWE Innogy GmbH and RWE Innogy Aersa S.A.U. v. Kingdom of Spain, ICSID Case No. ARB/14/34, Decision on Jurisdiction, Liability and Certain Issues of Quantum, 30 December 2019
CL-0104-ENG	Watkins Holdings S.à r.l et al. v. The Kingdom of Spain, ICSID Case No. ARB/15/44, Award, 21 January 2020
CL-0105-ENG	Hydro Energy 1 S.à r.l. and Hydroxana Sweden AB v. Kingdom of Spain, ICSID Case No. ARB/15/42, Decision on Jurisdiction, Liability and Directions on Quantum, 9 March 2020
CL-0106-ENG	Cavalum SGPS, S.A. v. Kingdom of Spain, ICSID Case No. ARB/15/34, Decision on Jurisdiction, Liability and Directions on Quantum, 31 August 2020
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CL-0108-ENG	Silver Ridge Power BV v. Italian Republic, ICSID Case No. ARB/15/37, Award, 26 February 2021
CL-0109-ENG	Eco Oro Minerals Corp. v. The Republic of Colombia, ICSID Case No. ARB/16/41, Decision on Jurisdiction, Liability and Directions on Quantum, 9 September 2021
CL-0110-ENG	Casinos Austria International GmbH and Casinos Austria Aktiengesellschaft v. Argentine Republic, ICSID Case No. ARB/14/32, Award, 5 November 2021
CL-0111-ENG	North American Free Trade Agreement (NAFTA), Part Five, Chapter 11: Investment, 17 November 1993 (entered in to force 1 January 1994)
CL-0112-ENG	2012 U.S. Model Bilateral Investment Treaty, "Treaty between the Government of the United States of America and the Government of [Country] concerning the Encouragement and Reciprocal Protection of Investment", 2012
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III. ANNEXES

Annexes

Annex A, Table of (i) ECT Tribunals, (ii) ICSID Annulment Committees, and (iii) Non-ECT Tribunals that have Dismissed the Intra-EU Objection to Jurisdiction, updated May 2022